

PICTET & CIE, BANQUIERS

Pictet & Cie  
Route des Acacias 60  
CH-1211 Genève 73  
TÉL +41 (0)58 323 2323  
FAX +41 (0)58 323 2324

www.pictet.com



By registered mail

Lehman Brothers Holdings Claims Processing  
Center  
c/o EPIQ BANKRUPTCY SOLUTIONS, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076  
USA

Geneva, 20 August 2013  
Our ref: 2197/DA

**Re :** Lehman Brothers Holding Inc., et al., Debtors  
Chapter 11, Case No. 08.13555 (JMP) (Jointly Administered)  
TRANSFER OF CLAIM

Dear Sirs,

Acting as authorised representatives of Pictet & Cie, we are pleased to request the transfer of a portion of the claim number 47110 filled in the name of Union Bancaire Privée (Transferor) to Pictet & Cie (Transferee).

In order to support our transfer request, please find enclosed herewith the following documents duly completed and signed by the Transferor and/or the Transferee:

- Form 210A duly signed by the Transferee;
- Evidence of Transfer of Claim duly signed by the Transferor;
- Schedule 1 to the Evidence of Transfer of Claim

We would be much appreciative if you could acknowledge receipt of the present request and confirm the transfer, either by email (daeschlimann@pictet.com), fax (+41583232050), telephone (+41583232197) or post mail to the attention of Mr. David Aeschlimann.

Should you need any further information, please do not hesitate to contact the above-named.

Yours sincerely,

PICTET & C<sup>IE</sup>

Mélanie Pichonnaz

David Aeschlimann

B 210A (Form 210A) (12/09)

## UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings Inc,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Pictet & Cie  
Name of Transferee

Union Bancaire Privée UBP SA  
Name of Transferor

Name and Address where notices to transferee should be sent:

Court Claim # (if known): 47110  
Amount of Claim: \$70,955.29  
Date Claim Filed: 10/29/2009

Phone: +41.58.323.1326  
Last Four Digits of Acct #: \_\_\_\_\_

Phone: +41.58.819.3428  
Last Four Digits of Acct. #: \_\_\_\_\_

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: ppon PICTET & Cie  
Transferee/Transferee's Agent

Date: 20 August 2013

Melanie RICHONNAZ  
David AESCHLIMANN

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.



UNION BANCAIRE PRIVÉE

**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **UNION BANCAIRE PRIVEE, UBP SA** ("Transferor") unconditionally and irrevocably transferred to **PICTET & CIE, 60, route des Acacias, CH-1211 Geneva 73, SWITZERLAND** all of its right, title, interest claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. **47110**) in nominal amount of USD 71'005 **equal to an allowed claim of USD 70'955.29** related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED  
THIS 9<sup>th</sup> August 2013.

**UNION BANCAIRE PRIVEE, UBP SA**

  
Yann-Emmanuel Magnin  
Director

  
Elena Montgomery-Tonini  
Director

**UNION BANCAIRE PRIVÉE, UBP SA**

Genève

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UNION BANCAIRE PRIVÉE

## Schedule I

### Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN / Description	Court Claim	Date Claim Filed	Issuer	Claim Unsecured	Allowed Unsecured
ISIN XS0268043709  Notes Lehman Brothers due 05.12.2010	47110	29th October 2009	Lehman Brothers Treasury BV	USD 71'005	USD 70'955.29

UNION BANCAIRE PRIVÉE, UBP SA

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20.08.13

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Main Document

Rg 5 of 5

AUG 24

FILED / RECEIVED  
AUG 26 2013  
EPIQ SYSTEMS

By registered mail

Lehman Brothers Holdings Claims Processing  
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